



JPW
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Clinton Edward Lum) Art Unit: 2157
Serial No.: 09/456,894) Examiner: Hussein El Chanti
Filed: December 7, 1999) Docket No.: 05456.105030
For: Method and Apparatus for Remote) Conf. No.: 7047
Installation of Network Drivers and)
Software)

**INFORMATION DISCLOSURE STATEMENT ("IDS")
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT THE APPROPRIATE
FEE TO CONSIDER THE IDS**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement ("IDS") for consideration by the Examiner. The patents, publications or other information submitted for consideration by the Patent Office are listed on Form PTO-1449, attached hereto. Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

Except as may be indicated below, all of the patents, publications or other information are in the English language, a copy of which is attached hereto and therefore, a concise explanation of each reference is not required. The following additional information is provided for the Examiner's consideration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 6, 2005.

To assist the Examiner in reviewing this IDS, the Applicant has conducted a key word search of each U.S. patent and U.S. patent application publication listed on the attached Form PTO-1449. The Applicant used the following search terms in his query of the U.S. patents and U.S. patent application publications: (1) "computer\$"; (2) "install\$"; (3) "disabl\$"; (4) "interface\$"; (5) "driver\$"; and (6) "network\$." The Applicant used Boolean logic when performing this search in which each term was linked by the logical connector "AND." A search of these U.S. patents and U.S. patent application publications using the aforementioned terms and Boolean search logic yielded the following results:

U.S. Patents
U.S. Patent No. 5,511,184 to Lin
U.S. Patent No. 5,950,012 to Schell et al.
U.S. Patent No. 5,987,611 to Freund
U.S. Patent No. 6,016,553 to Schneider et al.
U.S. Patent No. 6,073,172 to Frailong et al.
U.S. Patent No. 6,199,181 to Rechef et al.
U.S. Patent No. 6,314,520 to Schell et al.
U.S. Patent No. 6,314,525 to Mahalingham et al.
U.S. Patent No. 6,453,345 to Trcka et al.
U.S. Patent No. 6,477,648 to Schell et al.
U.S. Patent No. 6,496,858 to Frailong et al.
U.S. Patent No. 6,606,744 to Mikurak et al.

U.S. Patent Applications Publications
U.S. Patent Application Publication No. 2002/0083331 to Krumel

The Applicant submits that these search terms cannot be interpreted as providing emphasis on these terms when the claims are interpreted and construed by both the Examiner and the courts. The Applicant merely selected these terms to identify the prior art that may be more relevant than prior art that do not contain these search terms.

The Applicant exerted this additional time and effort to help the Examiner so that if a patent is ever issued on this patent application, third parties will understand that the Applicant submitted the attached prior art on a good faith basis. The Applicant recognizes that the attached prior art is voluminous but it is not the Applicant's intent to "bury" any patents that maybe more

pertinent than other ones. The Applicant does not want to dismiss any reference that he is aware of as cumulative in order to prevent third parties from arguing that the Applicant intentionally did not submit any prior art in an effort to deceive the Examiner and the Patent Office.

This IDS is being filed under 37 C.F.R. § 1.97(c)(2), before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application. No certification is required. The Commissioner is authorized to charge the \$180.00 fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-0980. Please charge any additional fees pursuant to 37 C.F.R. §§ 1.16 or 1.17 to Deposit Account No. 11-0980.

If the Examiner has any questions concerning this IDS, he is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the Patent Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 11-0980.

Respectfully submitted,

KING & SPALDING LLP

By 

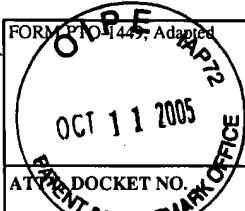
Steven P. Wigmore, Esq.

Reg. No. 40,447

Tel. (404) 572-4600

Enclosures:

1. Form PTO-1449
2. Foreign Patents
3. Publications
4. Postcard



LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO. 05456.105030		SERIAL NO. 09/456,894	FILING DATE December 7, 1999					
APPLICANT Clinton Edward Lum			GROUP 2157					
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,696,486	12/9/97	Poliquin et al.	340			
	AB	6,353,385	3/5/02	Molini et al.	340			
	AC	5,353,393	10/4/94	Bennett et al.	345			
	AD	6,429,952	8/6/02	Olbricht	358			
	AE	5,748,098	5/5/98	Grace	370			
	AF	6,396,845	5/28/02	Sugita	370			
	AG	6,711,127	3/23/04	Gorman et al.	370			
	AH	5,734,697	3/31/98	Jabbarnezhad	379			
	AI	6,104,783	8/15/00	DeFino	379			
	AJ	6,226,372	5/1/01	Beebe et al.	379			
	AK	6,445,822	9/3/02	Crill et al.	382			
	AL	6,563,959	5/13/03	Troyanker	382			
	AM	6,618,501	9/9/03	Osawa et al.	382			
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.	
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	AN	6,628,824	9/30/03	Belanger	382			
	AO	6,647,139	11/11/03	Kunii et al.	382			
	AP	6,661,904	12/9/03	Sasich et al.	382			
	AQ	6,668,082	12/23/03	Davison et al.	382			
	AR	6,668,084	12/23/03	Minami	382			
	AS	6,792,144	9/14/04	Yan et al.	382			
	AT	5,345,595	9/6/94	Johnson et al.	455			
	AU	6,278,886	8/21/01	Hwang	455			
	AV	6,889,168	05/03/05	Hartley et al.	702			
	AW	5,210,704	5/11/93	Husseiny	702			
	AX	5,842,002	11/24/98	Schnurer et al.	703			
	AY	5,854,916	12/29/98	Nachenberg	703			
	AZ	5,999,723	12/7/99	Nachenberg	703			
	BA	6,067,410	5/23/00	Nachenberg	703			
	BB	5,864,803	1/26/99	Nussbaum	704			
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	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
	BP	4,223,380	9/16/80	Antonaccio et al.	709		
FOREIGN PATENT DOCUMENTS							
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	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		
FOREIGN PATENT DOCUMENTS							
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	CF	5,964,839	10/12/99	Johnson et al.	709			
	CG	5,974,237	10/26/99	Shurmer et al.	709			
	CH	5,974,457	10/26/99	Waclawsky et al.	709			
	CH	5,983,270	11/9/99	Abraham et al.	709			
	CJ	6,009,467	12/28/99	Ratcliff et al.	709			
	CK	6,026,442	2/15/00	Lewis et al.	709			
	CL	6,035,323	3/7/00	Narayen et al.	709			
	CM	6,041,347	3/21/00	Harsham et al.	709			
	CN	6,052,709	4/18/00	Paul	709			
	CO	6,070,190	5/30/00	Reps et al.	709			
	CP	6,073,172	6/6/00	Fraiglong et al.	709			
	CQ	6,085,224	7/4/00	Wagner	709			
	CR	6,119,165	9/12/00	Li et al.	709			
	CS	6,195,687	2/27/01	Greaves et al.	709			
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	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Gleichauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Fraiglong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
	DG	2002/0032793	3/14/02	Malan et al.	709		
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	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
	DW	5,475,839	12/12/95	Watson et al.	713		
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	DX	5,557,742	9/17/96	Smaha et al.	713			
	DY	5,586,260	12/17/96	Hu	713			
	DZ	5,606,668	2/25/97	Shwed	713			
	EA	5,623,600	4/22/97	Ji et al.	713			
	EB	5,623,601	4/22/97	Vu	713			
	EC	5,696,822	12/9/97	Nachenberg	713			
	ED	5,761,504	6/2/98	Corrigan et al.	713			
	EE	5,764,887	6/9/98	Kells et al.	713			
	EF	5,764,890	6/9/98	Glasser et al.	713			
	EG	5,787,177	7/28/98	Leppek	713			
	EH	5,796,942	8/18/98	Esbensen	713			
	EI	5,798,706	8/25/98	Kraemer et al.	713			
	EJ	5,812,763	9/22/98	Teng	713			
	EK	5,815,574	9/29/98	Fortinsky	713			
	EL	5,822,517	10/13/98	Dotan	713			
	EM	6,851,057	02/01/05	Nachenberg	713			
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	EN	5,826,013	10/20/98	Nachenberg	713			
	EO	5,828,833	10/27/98	Belville et al.	713			
	EP	5,832,208	11/3/98	Chen et al.	713			
	EQ	5,832,211	11/3/98	Blakley, III et al.	713			
	ER	5,838,903	11/17/98	Blakely, III et al.	713			
	ES	5,845,067	12/1/98	Porter et al.	713			
	ET	5,848,233	12/8/98	Radia et al.	713			
	EU	5,864,665	1/26/99	Tran	713			
	EV	5,875,296	2/23/99	Shi et al.	713			
	EW	5,892,903	4/6/99	Klaus	713			
	EX	5,919,257	7/6/99	Trostle	713			
	EY	5,919,258	7/6/99	Kayashima et al.	713			
	EZ	5,925,126	7/20/99	Hsieh	713			
	FA	5,931,946	8/3/99	Terada et al.	713			
	FB	5,940,591	8/17/99	Boyle et al.	713			
	FC	6,886,102	04/26/05	Lyle	713			
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	FD	5,961,644	10/5/99	Kurtzberg et al.	713			
	FE	5,978,917	11/2/99	Chi	713			
	FF	5,983,348	11/9/99	Ji	713			
	FG	5,983,350	11/9/99	Minear et al.	713			
	FH	5,987,606	11/16/99	Cirasole et al.	713			
	FI	5,987,610	11/16/99	Franczek et al.	713			
	FJ	5,987,611	11/16/99	Freund	713			
	FK	5,991,881	11/23/99	Conklin et al.	713			
	FL	5,999,711	12/7/99	Misra et al.	713			
	FM	6,003,132	12/14/99	Mann	713			
	FN	6,061,795	5/9/00	Dircks et al.	713			
	FO	6,070,244	5/30/00	Orchier et al.	713			
	FP	6,081,894	6/27/00	Mann	713			
	FQ	6,493,752	12/10/02	Lee et al.	713			
	FR	5,905,859	05/18/99	Holloway et al.	713			
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FT	6,088,804	7/11/00	Hill et al.	713		
FU	6,092,194	7/18/00	Touboul	713		
FV	6,098,173	8/1/00	Elgressy et al.	713		
FW	6,119,234	9/12/00	Aziz et al.	713		
FX	6,122,738	9/19/00	Millard	713		
FY	6,154,844	11/28/00	Touboul et al.	713		
FZ	6,167,520	12/26/00	Touboul	713		
GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
GB	6,205,552	3/20/01	Fudge	713		
GC	6,266,773	7/24/01	Kisor et al.	713		
GD	6,266,774	7/24/01	Sampath et al.	713		
GE	6,272,641	8/7/01	Ji	713		
GF	6,275,938	8/14/01	Bond et al.	713		
GG	6,275,942	8/14/01	Bernhard et al.	713		
GH	6,279,113	8/21/01	Vaidya	713		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
GI	6,298,445	10/2/01	Shostack et al.	713		
GJ	6,301,668	10/9/01	Gleichauf et al.	713		
GK	6,314,520	11/6/01	Schell et al.	713		
GL	6,321,338	11/20/01	Porras et al.	713		
GM	6,324,647	11/27/01	Bowman-Amuah	713		
GN	6,338,141	1/8/02	Wells	713		
GO	6,347,374	2/12/02	Drake et al.	713		
GP	6,357,008	3/12/02	Nachenberg	713		
GQ	6,405,318	6/11/02	Rowland	713		
GR	6,408,391	6/18/02	Huff et al.	713		
GS	6,460,141	10/1/02	Olden	713		
GT	6,470,449	10/22/02	Blandford	713		
GU	6,477,648	11/5/02	Schell et al.	713		
GV	6,477,651	11/5/02	Teal	713		

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	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
	HJ	6,775,780	8/10/04	Muttik	713		

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	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
	HY	5,398,196	3/14/95	Chambers	714		

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	HZ	5,440,723	8/8/95	Arnold et al.	714			
	IA	5,452,442	9/19/95	Kephart	714			
	IB	5,649,095	7/15/97	Cozza	714			
	IC	5,765,030	6/9/98	Nachenberg et al.	714			
	ID	5,964,889	10/12/99	Nachenberg	714			
	IE	6,006,016	12/21/99	Faigon et al.	714			
	IF	6,016,553	1/18/00	Schneider et al.	714			
	IG	6,021,510	2/1/00	Nachenberg	714			
	IH	6,029,256	2/22/00	Kouznetsov	714			
	II	6,035,423	3/7/00	Hodges et al.	714			
	IJ	6,094,731	7/25/00	Waldin et al.	714			
	IK	6,108,799	8/22/00	Boulay et al.	714			
	IL	6,173,413	1/9/01	Slaughter et al.	714			
	IM	6,912,676	06/28/05	Gusler et al.	714			
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	IN	6,199,181	3/6/01	Rechef et al.	714			
	IO	6,230,288	5/8/01	Kuo et al.	714			
	IP	6,314,525	11/6/01	Mahalingham et al.	714			
	IQ	6,324,656	11/27/01	Gleichauf et al.	714			
	IR	6,601,190	7/29/03	Meyer et al.	714			
	IS	2002/0032880	3/14/02	Poletto et al.	714			
	IT	5,454,074	9/26/95	Hartel et al.	715			
	IU	5,522,026	5/28/96	Records et al.	715			
	IV	6,271,840	8/7/01	Finseth et al.	715			
	IW	6,517,587	2/11/03	Satyavolu et al.	715			
	IX	6,535,227	3/18/03	Fox et al.	715			
	IY	4,819,234	4/4/89	Huber	717			
	IZ	5,590,331	12/31/96	Lewis et al.	717			
	JA	5,774,727	6/30/98	Walsh et al.	717			
	JB	5,872,978	2/16/99	Hoskins	717			
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	JC	5,950,012	9/7/99	Shiell et al.	717		
	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
	JI	6,477,585	11/5/02	Cohen et al.	719		
	JJ	6,721,806	4/13/04	Boyd et al.	719		
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						YES	NO.
JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.			
JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.			
JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.			
JN	WO 02/056152	07/18/02	PCT	Psionic Software			
JO	0 985 995	08/13/03	EP	International Business Machines Corp.			
JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.			
JQ	WO 00/02115	01/13/00	PCT	PRC Inc.			
JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson			
JS	WO 99/50734	10/07/99	PCT	AT&T Corporation			
JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.			
JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.			
JV	0 636 977	05/23/01	EP	Chambers			
JW	WO 99/15966	04/01/99	PCT	Symantec Corporation			

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JX	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2				
JY	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9				
JZ	International Search Report for PCT/US02/17161 of December 31, 2002				
KA	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68				

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KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206						
KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101						
KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217						
KE	International Search Report for PCT/US01/13769 of March 8, 2002						
KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66						
KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27						
KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14						
KI	International Search Report for PCT/US02/04989 of September 19, 2002						
KJ	International Search Report for PCT/US02/02917 of August 8, 2002						
KK	International Search Report for PCT/US03/00155 of May 15, 2003						
KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12						
KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112						
KN	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32						

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KO		Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 th National Computer Security Conference, Washington, DC, October 1-4, 1990, pp. 827-839						
KP		Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172						
KQ		Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7						
KR		Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html , printed off of the Process Software website on February 26, 2003, pp. 1-5						
KS		Ganesan, BAFirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108						
KT		Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362						
KU		Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563						
KV		Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610						
KW		Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99						
KX		Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224						
KY		Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40						
KZ		Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48						
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LB	Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9				
LC	Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280				
LD	Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87				
LE	Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.				
LF	Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 th Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.				
LG	Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.				
LH	Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.				
LI	Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.				
LJ	Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.				
LK	Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.				

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LL		Epstein et al., "Component Architectures for Trusted Netware," 18 th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.						
LM		Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 th National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.						
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LO		Broner et al., "IntelligentI/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.						
LP		Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.						
LQ		Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.						
LR		Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.						
LS		Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.						
LT		Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.						
LU		Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.						
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LW		Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2 nd ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.						
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VL		EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11						
VM		Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89						
VN		Lunt et al., Detecting Intruders in Computer Systems, 1993 Conference on Auditing and Computer Technology, SRI International, pp. 1-17						
VO		Network ICE Products - ICEcap, Date Unknown, pp. 1-2						
VP		Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35						
VQ		BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59						
VR		ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25						
VS		Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51						
EXAMINER		DATE CONSIDERED						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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05456.105030		09/456,894	December 7, 1999					
APPLICANT				GROUP			BOX 3 / 4	
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U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION	
							YES NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
VT		"Real Secure, OS Sensor User Guide," Version 5.0, © Internet Security Systems, Inc. 1997-2000; September 2000, pgs. 1-64.						
VU		"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.						
VV		"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.						
VW		"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.						
VX		"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38						
VY		"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.						
VZ		"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.						
WA		"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.						
WB		"Database Scanner™, User Guide," Version 3.0.1, © 1999 by Internet Security Systems, Inc., pgs. 1-164.						
WC		"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.						
WD		"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.						
WE		"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.						
WF		"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.						
WG		"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.						
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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION	
							YES NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
WH		"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.						
WI		"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.						
WJ		"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.						
WK		"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.						
WL		"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.						
WM		"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.						
WN		"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.						
WO		"System Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.						
WP		"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.						
WQ		Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47						
WR		Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86						
WS		Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98						
WT		Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1- 154						
WU		Internet Security Systems, Inc., "Enhanced Dynamic Threat Protection via Automated Correlation and Analysis", an ISS White Paper, 2002, pp. 1-14						
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BOX 4 / 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	WV	6,871,284	03/22/05	Cooper et al.	713		
	WW	5,872,915	02/16/99	Dykes et al.	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

WX		Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
WY		Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
WZ		Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
XA		Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
XB		Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
XC		Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
XD		Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23
XE		McGraw et al., "Secure Computing with Java: Now and the Future", 1997, http://java.sun.com/security/javaone97-whitepaper.html , pgs. 1-20

EXAMINER	DATE CONSIDERED
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